2812

Please the a plus sign (+) inside this box PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 10/065,302 TRANSMITTAL **Application Number FORM** October 1, 2002 Filing Date (to be used for all correspondence after initial filing) **First Named Inventor** Neil Hendricks Group Art Unit 2812 **TBA Examiner Name** Total Number of Pages in This Submission Attorney Docket Number **ATMI-466** ENCLOSURES (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) to Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Reply Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Status Letter Affidavits/declaration(s) Provisional Application Other Enclosure(s) (please Power of Attorney, Revocation **Extension of Time Request** identify below): Change of Correspondence Address **Express Abandonment Request** Communication Terminal Disclaimer Information Disclosure Statement Request for Refund Certified Copy of Priority CD, Number of CD(s) Document(s) Response to Missing Parts/ Remarks Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Margaret Chappuis, Reg. No. 45, 735 Individual name Signature February 20, 2003 Date **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited pursuant to 37 C.F.R. 1.8 in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on this date: Type or printed name Lee Ann DiLello Signature Date

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**ATMI-466** 

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Neil Hendricks

Group Art Unit: 2812

U.S. Application No.: 10/065,302

Examiner: TBA

Filed: October 1, 2002

Title: COMPOSITION AND CHEMICAL VAPOR DEPOSITION METHOD FOR

FORMING ORGANIC LOW K DIELECTRIC FILMS

#### **CERTIFICATE OF MAILING**

I hereby certify that this paper or fee is being deposited pursuant to 37 CFR 1.8

on the date indicated below and is addressed to the Commissioner of Patents, Washington, DC 20231

### COMMUNICATION

Commissioner for Patents Washington, D.C. 20231

Sir:

A review of the Applicants filed revealed a typographical error in the Information Disclosure Statement filed for the above identified application on January 15, 2003. A copy of which is attached.

Applicants identified an incorrect U.S. serial number in the Information Disclosure Statement filed on January 15, 2003. Applicants identified the Serial No. as 10/065,303 and should have read 10/065,302. Please disregard the Information Disclosure Statement filed on January 15, 2003 and replace it with the enclosed corrected Information Disclosure Statement.

Applicant does not believe that any fee is due in connection with the foregoing. However, any deficiencies may be charged to the deposit account 50-0860.

Respectfully submitted,

Margaret Chappuis

Registration No. 45,735 Agent for Applicant

Date: February 20, 2003

Advanced Technology Materials, Inc.

7 Commerce Drive Danbury, CT 06810 Agent Ref: 466



ATMI-466 Customer ID No.: 25559

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Neil Hendricks

Group Art Unit: 2812

U.S. Application No.: 10/065,302

Examiner: TBA

Filed: October 1, 2002

Title: COMPOSITION AND CHEMICAL VAPOR DEPOSITION METHOD FOR FORMING

ORGANIC LOW K DIELECTRIC FILMS

I hereby certify that this paper or fee is being deposited pursuant to 37 C1 A ...

on the date indicated below and is addressed to the Commissioner of Patents, Washington, DC 20231

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☑ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required in accordance with 37 CFR § 1.56 and § 1.97(b).
- □ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

	<b>ш</b> а.	Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application, which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
	□ b.	I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
	□ c.	Attached is our check no in the amount of \$240 in payment of the fee under 37 C.F.R. §1.17(p). Please credit or debit Deposit Account No. 50-0860 as needed to ensure consideration of the disclosed information. Two duplicate copies of this paper are attached.
□ 3.	U.S. fi but be Disclo \$130.0 Depos	iling date and after the mailing date of a Final Rejection or Notice of Allowance, fore payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information sure Statement be considered. Attached is our check no in the amount of 00 in payment of the petition fee under 37 C.F.R. §1.17(i)(1). Please credit or debit it Account No as needed to ensure consideration of the disclosed information. uplicate copies of this paper are attached.
	□ a.	I hereby certify that each item of information contained in this Information Disclosure Statement was the first citation of such item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to filing the Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).
	□ b.	I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2).
□ 4.	(other)	:

Applicant does not believe that any additional fee is due in connection with the foregoing. However, any deficiencies may be charged to the deposit account 50-0860.

Respectfully submitted,

Margaret Chappuis

Registration No. 45,735

Agent for Applicant

Advanced Technology Materials, Inc.

7 Commerce Drive Danbury, CT 06810 Agent Ref: 466

FORM PTO-1449		US Dept. of Commerce		ATTORNEY DOCKET NO.		SERIAL NO.		
Patent and Trademark Office				ATMI-466	10/065,302			
PEV				APPLICANT				
INFORMATION DISCLOSURE STATEMENT								
(use everal sheets if necessary)				Neil H. Hendricks				
				FILING DATE	GROUP			
				October 1, 2002	2812			
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INITIAL	ļ	NUMBER	ISSUE DATE	NAME	CLASS	SUBCLASS	IF APPRO	PRIATE
	AA	5,204,314	4/20/1993	Kirlin, et al.				
	AB	5,536,323	7/16/1996	Kirlin, et al.				
-	AC	5,711,816	1/27/1998	Kirlin, et al.				
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		DOCUMENT	PUBLICATION				TRANSLATION	
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